

July 29, 2010

## **Sukwon (Thomas) Kim**

School of Business Administration  
University of California, Riverside  
900 University Ave  
Riverside, CA 92521

Tel: (951) 827-4995  
email: [sukwonk@ucr.edu](mailto:sukwonk@ucr.edu)

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### **Current Position**

Assistant Professor of Finance, School of Business Administration, University of California, Riverside

### **Areas of Research Interest**

Financial Markets, Investments, Mutual Funds, Equity Offerings, Corporate Finance

### **Working Papers**

- “Mean reversion and trading activity”, July 2010
- “Learning from the distribution of the stock performances inside a fund”, Jun 2010, with Peter Y. Chung
- “On order flow synchronicity”, Jun 2010, with Peter Y. Chung
- “Is order imbalance related to information?”, Apr 2010, with Hans R. Stoll
- “Order Imbalances around seasoned equity offerings”, Mar 2010, with Ronald W. Masulis

### **Invited Presentations**

- “Order Imbalances around seasoned equity offerings”, with Ronald W. Masulis, Financial Management Association Annual Conference, New York, NY, Oct 2010 (Accepted as a presenter)
- “Is order imbalance related to information?”, Financial Management Association Annual Conference, Reno, NV, Oct 2009
- “Price discovery from peers: The downside of stock liquidity”, University of California at Riverside, Nov 2008
- “Is order imbalance related to information?”, Seminar in Financial Market Research Center, Owen Graduate School of Business, Vanderbilt University, Aug 2008
- “Price discovery from peers: The downside of stock liquidity”, Seminar in Financial Market Research Center, Owen Graduate School of Business, Vanderbilt University, May 2007

## **Academic Services**

Member of American Finance Association and Financial Management Association  
Paper Reviewer for European Financial Management Association  
Paper Discussant for Financial Management Association Annual Conference  
Referee Service for *Financial Management*

## **Teaching Experience**

Derivatives, MBA level, University of California, Riverside, Fall 2010 (Assigned)  
Derivatives, Undergraduate level, University of California, Riverside, Fall 2010 (Assigned)  
Investments, Undergraduate level, University of California, Riverside, Fall 2010 (Assigned)  
Investments, Undergraduate level, University of California, Riverside, Spring 2010  
Corporate Finance, Undergraduate level, University of California, Riverside, Fall 2009  
Financial Institutions, MBA level, Vanderbilt University, Fall 2008  
Financial Institutions, MBA level, Vanderbilt University, Fall 2007

## **Education**

Ph.D. in Finance, Vanderbilt University, May 2009  
M.B.A. in Finance, University of Texas at Austin, May 2004  
B.A., Economics, Seoul National University, Korea, Feb 2000

## **Work Experience**

Fixed income securities dealer, Kookmin Bank, Seoul, Korea, 2001-2002  
Operation officer, 1<sup>st</sup> Lieutenant, Republic of Korea Marine Corps, 2000-2001

## **Honors and Fellowships**

University of California Riverside Research Grant, June 2010  
Vanderbilt University Doctoral Fellowship in Finance, Aug 2004 – May 2009  
Beta Gamma Sigma, University of Texas at Austin, May 2004  
Dean's Award, University of Texas at Austin, May 2004

## **References**

|                   |                                     |                                                                                            |
|-------------------|-------------------------------------|--------------------------------------------------------------------------------------------|
| Peter Y. Chung    | University of California, Riverside | <a href="mailto:peter.chung@ucr.edu">peter.chung@ucr.edu</a>                               |
| Richard L. Smith  | University of California, Riverside | <a href="mailto:richard.smith@ucr.edu">richard.smith@ucr.edu</a>                           |
| Hans R. Stoll     | Vanderbilt University               | <a href="mailto:hans.stoll@owen.vanderbilt.edu">hans.stoll@owen.vanderbilt.edu</a>         |
| Ronald W. Masulis | Vanderbilt University               | <a href="mailto:ronald.masulis@owen.vanderbilt.edu">ronald.masulis@owen.vanderbilt.edu</a> |